



11-13-03

Express Mail No. EV 313 842 192 US

UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
LEHRER *et al.*

Confirmation No.: 3774

Serial No.: 10/627,829

Art Unit: 1646

Filed: July 24, 2003

Examiner: Not yet assigned

For: *Protegrins*

Attorney Docket No: 8067-0116-999

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant's attorney requests correction of the Filing Receipt for the above identified application.

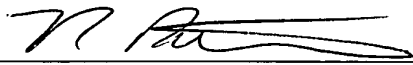
Please correct the third inventor's name to read "Vladimir N. Kokryakoy" instead of "Kokryakoy". A copy of the filed executed Declaration is enclosed.

Also, please correct the title to read: PROTEGRINS. A copy of the marked-up Filing Receipt is enclosed.

The Commissioner is hereby authorized to charge any required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150. This form is submitted in duplicate for such purpose.

Respectfully submitted,

Date: November 11, 2003


Rahul Pathak 42,983
(Reg. No.)
For: Samuel B. Abrams (Reg. No. 30,605)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090



UNITED STATES PATENT AND TRADEMARK OFFICE

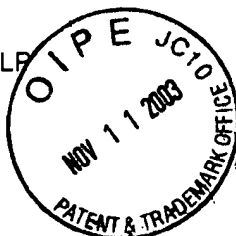
UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/627,829 ✓	07/24/2003 ✓	1646 ✓	384 ✓	8067-116-999 ✓	27	21 ✓	1 ✓

CONFIRMATION NO. 3774

24341

Pennie & Edmonds, LLP
 3300 Hillview Avenue
 Palo Alto, CA 94304



RECEIVED RECORDS

NOV 11 2003

& DOCKETING CA OFFICE

FILING RECEIPT



OC000000011167687

Date Mailed: 11/03/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert I. Lehrer, Santa Monica, CA; ✓
 Sylvia S.L. Harwig, Woodland Hills, CA; ✓
 Vladimir N. Kokryakov, St. Petersburg, RUSSIAN FEDERATION;

Assignment For Published Patent Application

The Regents of the University of California;

Domestic Priority data as claimed by applicant

This application is a CON of 09/539,443 03/30/2000 ✓
 which is a CON of 09/128,345 08/03/1998 PAT 6,159,936
 which is a CON of 08/499,523 07/07/1995 PAT 5,804,558
 which is a CIP of 08/451,832 05/26/1995 ABN
 which is a CIP of 08/243,879 05/17/1994 PAT 5,708,145
 which is a CIP of 08/182,483 01/13/1994 PAT 5,693,486
 which is a CIP of 08/095,769 07/26/1993 PAT 5,464,823
 which is a CIP of 08/093,926 07/20/1993 ABN

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

N n-Publication Request: No

Early Publication Request: No

** SMALL ENTITY ** ✓

Title

~~Protegrins~~
Protegrins

Please double
check
title

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).